



RANA SUGARS LIMITED

REGD. OFFICE : S.C.O. 49-50, SECTOR 8-C, MADHYA MARG, CHANDIGARH -160 009 (INDIA)

TEL.: 0172-2540007, 2549217, 2541904, 2779565, 2773422 FAX : 0172-2546809

CIN:L15322CH1991PLC011537

E-mail : info@ranagroup.com

Website: www.ranasugars.com

RSL/22-23/CS

May 30, 2022

To,

Department of Corporate Services (DCS)
The BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001.

National Stock Exchange of India Limited
Exchange Plaza,
Bandra-Kurla Complex,
Bandra (E), Mumbai- 400051.

Ref: Scrip Code: 507490

Company Symbol: RANASUG

Sub: Annual Secretarial Compliance Report for the Financial Year 2021-22.

Sir/Ma'am,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith Annual Secretarial Compliance Report issued by Mr. Ajay K. Arora (Membership No. 2191 and C. P. No. 993), Practicing Company Secretary for the Financial Year ended March 31, 2022.

You are requested to kindly take the above on your records.

Thanking you,

Yours sincerely,

For RANA SUGARS LIMITED

SURESH KUMAR

Company Secretary cum Compliance Officer

AJAY K. ARORA
LL.B., FCS, IP

GST : 04ADSPA8498H1Z3
A. ARORA & CO.
Company Secretaries
&
Insolvency Professional
S.C.O. 64-65, 1ST FLOOR,
SECTOR 17-A, MADHYA MARG,
CHANDIGARH-160 017
Ph.: (O) 2701906
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**Secretarial compliance report of Rana Sugars Limited
for the year ended March 31, 2022**

To,

Rana Sugars Limited,
S.C.O. 49-50, Sector 8-C,
Madhya Marg, Chandigarh.

We, A. Arora & Co., Company Secretaries, have examined:

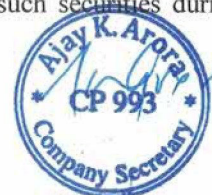
- (a) all the documents and records made available to us and explanation provided by **Rana Sugars Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018: Not applicable to the company during the Review Period.
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: Not applicable as there was no instance of Buy-Back of its securities during the Review Period.
- (e) Securities And Exchange Board Of India (Share Based Employee Benefits) Regulations, 2014 and Securities And Exchange Board Of India (Share Based Employee Benefits And Sweat Equity) Regulations, 2021: Not applicable to the company during the Review Period;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: Not applicable as the company has not issued any debt securities during the Review Period.
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible Securities) Regulations, 2021: Not applicable as the company has not issued any such securities during the Review Period



- (h) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021: Not applicable as the company has not delisted any securities from any stock exchange during the Review Period
- (i) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (j) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

and circulars/ guidelines issued thereunder, and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
Nil			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	The intimation regarding the order issued by SEBI restricting the Directors and the company from accessing the securities market has not been submitted to the Stock Exchanges.	31.03.2020 (FY 2019-20)	As per the information provided by the company, an appeal has been filed by the company against the said order with Securities Appellate Tribunal.	As per the information provided by the company, an appeal has been filed by the company with Hon'ble Supreme Court of India in this matter.



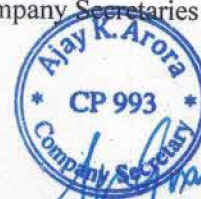
2.	The company is yet to maintain and update a structured digital database of the persons or entities with whom the unpublished price sensitive information is shared.	31.03.2020 (FY 2019-20) & 31.03.2021 (FY 2020-21)	During the financial year 2021-22, the company had in place the structural digital database with internal controls.	The company has duly established the structured digital database and updated the required information.
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Date: 29.05.2022

Place: Chandigarh

UDIN: F002191D000421599

For A. Arora & Co.
Company Secretaries



Ajay K. Arora
M. No. 2191
C. P. No. 993